



HELLENIC REPUBLIC  
CAPITAL MARKET COMMISSION

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**INFORMATION PROVIDED TO THE DATA SUBJECTS**

**(Articles 13 and 14 of the General Data Protection Regulation)**

**Information provided to natural persons lodging complaints/reports with the Hellenic Capital Market Commission.**

This notice concerns natural persons submitting complaints/reports to the Hellenic Capital Market Commission.

**Data Controller**

HELLENIC CAPITAL MARKET COMMISSION - LEGAL ENTITY, with registered office in Athens (Kolokotroni 1, Athens 105 62), tel. 210-33.77.100.

The Hellenic Capital Market Commission was established as a legal entity (Greek law 1969/1991) and was organized by Greek law 2324/1995 with its registered office at Kolokotroni 1 & Stadiou, Athens 105 62, aiming to ensure the protection of investors and the orderly operation of the Greek capital market, operates exclusively in the public interest, and in general processes personal data which is necessary for the performance of a task carried out in the public interest or in the exercise of an official authority vested in it as a data controller.

The Hellenic Capital Market Commission is responsible for the monitoring and surveillance of the proper application of capital market legislation and shall operate exclusively for the purpose of the public interest on the basis of Articles 76, 76A and 78 of the Greek law 1969/1991.

The Hellenic Capital Market Commission, as the controller, as specified in Article 4 par. 1 point 7 of the General Data Protection Regulation (GDPR), collects, maintains and processes personal data for specified, explicit and legitimate purposes, for the performance of a task carried out in the public interest or in the exercise of official authority vested in the HCMC only in the exercise of its statutory powers and such data shall not be further processed in a manner that is incompatible with those purposes.

The Hellenic Capital Market Commission does not process special categories of personal data ("sensitive data") except where required by the applicable legislation in the exercise of its supervisory role.

In particular, in the context of investigating complaints/reports submitted to the Hellenic Capital Market Commission by natural persons and/or legal entities (hereinafter referred to as "persons"), the Hellenic Capital Market Commission, as the controller, collects, maintains and may process personal data relating (indicatively and not restrictively) to identity and contact details, relevant contracts, portfolio statements, statements including bank or investment account movements, written or verbal orders to carry out transactions, relevant authorizations, physical or electronic records of the complainant's communications with representatives of the complainant companies, relevant court documents, witness statements, etc.

This data is collected :

- either directly from the persons submitting complaints/reports,
- either through the persons supervised by the Hellenic Capital Market Commission,
- either through persons not supervised by the Hellenic Capital Market Commission who may be involved in the process of investigating the complaints.

### **Purpose of processing and categories of personal data**

The processing is necessary for the investigation of the alleged infringements in the context of the performance of a task carried out in the public interest to protect the integrity of the market, or in the exercise of official authority.

The personal data subject to the above processing is data relating to (but not limited to) identity and contact details, relevant signed contracts, portfolio statements, statements involving bank or investment account movements, the existence of co-owners or co-beneficiaries, written or oral orders to carry out transactions, authorizations, physical or electronic records of the complainant's communications with the complainant's nominees, relevant court documents, witness statements, etc.

In case of objection by the applicants to the processing of personal data, it is not possible to investigate the alleged infringements.

The complaint submitted to the Hellenic Capital Market Commission, as a controller, is also a public document, therefore, article 5 of the Code of Administrative Procedure (Greek law 2690/1999) applies in conjunction with article 42 of Greek law 4624/2019, which establishes the obligation of public authorities to provide access to public documents.

### **Legal basis**

The legal basis for the processing of the above personal data is the provision of Article 6 par. 1(c) of the GDPR, as the collection and processing of such data constitutes a legal obligation of the controller, as mentioned above.

## **Recipients**

The Hellenic Capital Market Commission collects, maintains and processes personal data and does not trade personal data.

For the purpose of investigating complaints, this data is communicated to the accused entities, which have been authorized by the Hellenic Capital Market Commission and (where applicable) to entities that may be involved in the complaint investigation process, such as, but not limited to, the Bank of Greece and the Athens Stock Exchange Group S.A.

## **Responsible authorized officers**

For matters concerning personal data of investors who submit a complaint/report to the Hellenic Capital Market Commission, the responsible authorized staff of HCMC are the Director of the Markets Supervision Directorate and the Head of the Department of Citizen Communication of the Markets Supervision Directorate of the HCMC and/or the Head of the Department or Administrative Unit and/or the Head of the respective Directorate of the HCMC in charge of investigating the complaint/report.

## **Data Protection Officer**

The HCMC has appointed a Data Protection Officer (DPO).

Contact details of the Data Protection Officer: [dpoepke@cmc.gov.gr](mailto:dpoepke@cmc.gov.gr) or by post to the address: KOLOKOTRONI 1 & STADIOU, ATHENS 10562 (Attention: Data Protection Officer)

## **Data retention period**

The Hellenic Capital Market Commission keeps the personal data of natural or legal persons who submit a complaint/report to the Hellenic Capital Market Commission in its records for at least 25 years for archiving purposes in order to perform a task carried out in the public interest and/or in the exercise of an official authority entrusted to the Hellenic Capital Market Commission, as a controller.

## **Rights of data subjects**

According to Regulation (EU) 2016/679 of the European Parliament and of the Council (General Data Protection Regulation), any data subject has the right to submit a request to the controller for access, rectification, opposition, erasure, restriction of processing of data concerning it.

The above rights are exercised by submitting a written request addressed to the Hellenic Capital Market Commission and the competent Directorate (as above) of the Hellenic Capital Market Commission.

Any interested party has the right to lodge a complaint with the competent supervisory authority, the Hellenic Data Protection Authority.

Hellenic Data Protection Authority (DPA) details:

Offices: Kifissias 1-3, Athens 115 23

tel: +30-210 64.75.600 Fax: +30-210 64.75.628

E-mail: [contact@dpa.gr](mailto:contact@dpa.gr)

The HCMC is in constant alignment and compliance with the terms of the GDPR and is constantly making every effort to comply with it.

### **Reviews**

Prior to any potential changes to the processing of personal data through the Website, we will amend this Notice accordingly and publish it, so that you are informed and exercise effectively your rights.